# Onondaga County Industrial Development Agency



Project Summary 9/1/2021

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			·	
1. Project	Tracey Road Eq	uipment, Inc	2. Project Number	3101-20-03B
3. Location	Dewitt		4. School District	East Syracuse Minoa
			6. Project Type	Expansion
5. Tax Parcel(s)	141-5.1; 141	.7.2; 141-7.4; 141-7.6;	Village -	
7.Total Project Cost	\$	5,750,000.00	8. Total Jobs	170
Land	\$	-	8A. Job Retention	140
Site Work	\$	150,000.00	8B: Job Creation	30
Building	\$	5,250,000.00	(Next 5 Years)	
Furniture & Fixtures	\$	-		<u></u>
Equipment	\$	300,000.00		
Equipment Subject to NYS Product	ion			
Exemption	\$	-		
Engineering/Architecture Fees	\$	-		
Financial Charges	\$	-		
Legal Fees	\$	-		
Other	, \$	_		

Cost Benefit Analysis	-	ad Equipment, Inc	Project Description
Abatement Cost	\$	414,162	
Sales Tax	\$	260,000	
Mortgage Tax	\$	29,250	
Property Tax Relief (PILOT)	\$	124,912	
New Investment	\$	24,203,835	
PILOT Payments	\$	109,148	
Project Wages (10 years)	\$	12,650,240	Tracey Road Equipment, Inc seeks to expand and modernize to maintain a place in the
Construction Wages	\$	2,176,500	marketplace. They will expand their current facility by investing to build a 31,000 SF shop addition, invest in equipment and add 30 FTEs
Employee Benefits (10 years)	\$	3,795,072	, , , ,
Project Capital Investment	\$	5,400,000	
Agency Fees	\$ \$	72,875	
Benefit:Cost Ratio		58.44 :1	

# Tracey Road Equipment, Inc A) PILOTS Estimate Table Worksheet

8/5/2020

	for <u>10</u> years					
		T	Π	1	•	4 4=0 000
OCIDA estimate of current market value					\$	1,476,300
Projected investment					\$	4,000,000
riojecteu investinent				<u> </u>	Ψ	4,000,000
OCIDA estimate of increase in value					\$	594,270
	Π			<u> </u>		
OCIDA estimated value after project is completed					\$	2,070,570
	Π	<u> </u>	<u> </u>			
Taxes that would have been collected if the project did not occur					\$	581,458
Scheduled PILOT payments					\$	690,607

PILOT YEAR	Exemption %	unty PILOT Amount	Dewitt	Ea	st Syracuse Minoa	Τí	otal PILOT	Full Tax syment w/o PILOT	E	Net xemption
1	100%	\$ 7,779	\$ 7,454	\$	37,869	\$	53,103	\$ 74,478	\$	21,376
2	90%	\$ 8,254	\$ 7,909	\$	40,182	\$	56,345	\$ 75,968	\$	19,623
3	80%	\$ 8,745	\$ 8,379	\$	42,571	\$	59,696	\$ 77,487	\$	17,792
4	70%	\$ 9,252	\$ 8,865	\$	45,040	\$	63,158	\$ 79,037	\$	15,879
5	60%	\$ 9,776	\$ 9,367	\$	47,591	\$	66,735	\$ 80,618	\$	13,883
6	50%	\$ 10,318	\$ 9,886	\$	50,226	\$	70,430	\$ 82,230	\$	11,800
7	40%	\$ 10,877	\$ 10,422	\$	52,947	\$	74,246	\$ 83,875	\$	9,629
8	30%	\$ 11,454	\$ 10,975	\$	55,757	\$	78,186	\$ 85,552	\$	7,366
9	20%	\$ 12,050	\$ 11,546	\$	58,659	\$	82,254	\$ 87,263	\$	5,009
10	10%	\$ 12,665	\$ 12,135	\$	61,654	\$	86,454	\$ 89,009	\$	2,555
TOTAL		\$ 101,170	\$ 96,938	\$	492,498	\$	690,607	\$ 815,518	\$	124,912

Year										
	0	1	2	3	4	5				
Jobs										
Current/Actuals										
Creation Goals		6	11	7	3	3				
Total										
Employment Goals										
Goals	140	146	157	164	167	170				



# ONONDAGA COUNTY INDUSTRIAL DEVELOPMENT AGENCY APPLICATION FOR BENEFITS

- 1. Fill in all blanks using "none", "not applicable" or "not available". If you have any questions about the way to respond, please call the Onondaga County Industrial Development Agency at 315-435-3770.
- 2. If providing an estimate put "(est.)" after the figure or answer. If more space is needed to answer any specific question, attach a separate sheet.
- 3. If the OCIDA Board approves benefits, it is the company's responsibility to obtain and submit all necessary forms and documents. (ST-60, PILOT Agreement)
- 4. When completed, return this Application by mail or fax to the Agency at the address indicated below. A signed application may also be submitted electronically in PDF format to Nate Stevens at <a href="mailto:nstevens@ongov.net">nstevens@ongov.net</a>. An Application will not be considered by the Agency until the Application fee has been received.
- 5. The Agency will not give final approval for this Application until the Agency receives a completed NYS Full Environmental Assessment Form concerning the Project, which is the subject of this Application. The form is available at <a href="http://www.dec.ny.gov/permits/6191.html">http://www.dec.ny.gov/permits/6191.html</a>.
- 6. Please note the Public Officers Law declares all records in the possession of the OCIDA (with certain limited exceptions) are open to public inspection and copying. If the Applicant is of the opinion that there are elements of the Project which are in the nature of trade secrets which, if disclosed to the public or otherwise widely disseminated, would cause substantial injury to the Applicant's competitive position, this Applicant must identify such elements in writing and request that such elements be kept confidential. In accordance with Article 6 of the Public Officer's Law, the OCIDA may also redact personal, private, and/or proprietary information from publicly disseminated documents.
- 7. The Applicant will be required to pay the Agency Application fee and, if accepted as a project of the agency, all administrative and legal fees as stated in Section VI of the Application.
- 8. A complete application consists of the following 9 items:
  - This Application
  - Local Access Agreement
  - Employment Plan
  - Conflict of Interest
  - A feasibility statement indicating the need for the requested benefits
  - Description of project, Site Plans/Sketches, and Maps
  - NYS Full Environmental Assessment Form
  - A check payable to the Agency in the amount of \$1,000
  - A check payable to Barclay Damon LLP in the amount of \$2,500
- 9. This Application was adopted by the OCIDA Board on November 19, 2019.

It is the policy of the Agency that any project receiving benefits from the Onondaga County Industrial Development Agency will utilize 100% local contractors and local labor for the construction period of the project unless a waiver is granted in writing by the Agency.

#### **Return to:**

Onondaga County Industrial Development Agency
Attn: Nate Stevens
333 W. Washington Street, Suite 130
Syracuse, NY 13202

Phone: 315-435-3770 | Fax: 315-435-3669

nstevens@ongov.net

## Section I: Applicant Information

Please answer all questions. Use "None", "Not Applicable" and "See Attached" where necessary.

A) Applicant information-company	receiving benefits:
Applicant Name:	
Applicant Address:	
Phone:	
Website:	E-mail:
Federal ID#:	NAICS:
State and Year of Incorporation/Organ	nization:
Will a Real Estate Holding Company	be utilized to own the Project property/ facility? $\Box$ Yes $\Box$ No
What is the name of the Real Estate H	olding Company:
Federal ID#:	
State and Year of Incorporation/Organ	nization:
List of stockholders, members, or part	ners of Real Estate Holding Company:
B) Individual Completing Application	ion:
Name:	
Phone:	

, <del>-</del>	nerent from individual completing application):
Phone:	
E-mail:	
D) Company Counsel:	
Name of Attorney:	
Firm Name:	
Phone:	
E-mail:	
E) Business Organization (	check appropriate category):
☐ Corporation	□Partnership
☐ Public Corporation	□Joint Venture
☐ Sole Proprietorship	☐ Limited Liability Company
Others (please specify):	
Year Established:	
	s established:
F) List all stockholders, me	embers, or partners with % of ownership greater than 5%:
Name	% of ownership

### G) Applicant Business Description:

Ple	ease attach a description of your company's background, products, customers, goods and services.
Est	timated % of sales within Onondaga County:
Est	timated % of sales outside Onondaga County but within New York State:
Est	timated % of sales outside New York State but within the U.S.:
Est	timated % of sales outside the U.S.:
(*F	Percentage to equal 100%)
Н)	What percentage of your total annual supplies, raw materials and vendor services are purchased from firms in Onondaga County. Include list of vendors, raw material suppliers and percentages for each. Provide supporting documentation including estimated percentages of local purchases. Please attach this information.  2.39%
I)	Applicant History: If the answer to any of the following is "Yes", please explain below. If necessary, attach additional information.  1. Is the company or management of the Company now a plaintiff or defendant in any civil or criminal litigation?  2. Has any person listed above ever been convicted of a
	criminal offense (other than a minor traffic violation)? $\Box$ Yes $\Box$ No
	3. Has any person listed in Section I ever been in receivership or declared bankruptcy?  ☐ Yes ☐ No Please attach any explanations:
J)	Has the Project Beneficiary received assistance from OCIDA, SIDA, New York State or the Onondaga Civic Development Corporation in the past? If yes please attach an explanation and please give year, project name, description of benefits and address of project.   □Yes □No

# Section II: Project Information

A) Project Location: Location where the investmenth the new location should be entered here and a Address:	the current location should be in Section I.					
Legal Address (if different):						
City:	Village/Town:					
Zip Code:	School District:					
Tax Map Parcel ID(s):						
Current Assessed Value:	Sq. Footage of Existing Building:					
Census Tract:						
B) Type (Check all that apply):						
□New construction	☐ Purchase of machinery and/or equipment					
□Expansion/Addition to current facilities	☐Brownfield/Remediated Brownfield					
☐Renovation of existing facility	□ LEED Certification					
☐ Acquisition of existing facility/property	□Other:					
☐ Demolition and Construction						
C) Please attach a summary of how this project company up for revenue growth? Will it m	et will help your business grow. Will it set the aitigate cost? Will it provide more flexibility?					
<ul> <li>D) Description of Project: Please provide a detailed narrative of the proposed Project. This narrative should include, but is not limited to:</li> <li>□ (i) the size of the Project in square feet and a breakdown of square footage per each intended use;</li> </ul>						
<ul> <li>□ (ii) the size of the lot upon which the Project sits or is to be constructed;</li> <li>□ (iii) the current use of the site and the intended use of the site upon completion of the Project;</li> </ul>						
☐ (iv) the principal products to be produce on the Project site; and	d and/or the principal activities that will occur					
• • • • • • • • • • • • • • • • • • • •	nt is undertaking the Project and the need for					
	ly attach the description and any copies of					
site plans, sketches or maps.						

E)	<ul><li>Select Project Type for all end users at Project</li><li>**Please check any and all end users as ident</li></ul>	· · · · · · · · · · · · · · · · · · ·
	<ul><li>☐ Acquisition of Existing Facility</li><li>☐ Housing</li><li>☐ Equipment Purchase</li><li>☐ Multi-Tenant</li></ul>	□Bank Office □Retail □Mixed Use □Facility for Aging □Civic Facility (not for profit) □Other
F)	existing facility?  Yes No  Will the project create new employme otherwise be lost?  Yes No	se provide the following information: ruction or expansion or substantial renovation of an ent opportunities or retain existing jobs that may ustomer base primarily outside of Onondaga
G)	the company from one area of the state to and	ne removal of an industrial or manufacturing plant of other area of the state OR in the abandonment of one cated within the state? Please explain if you answer
H)	<ol> <li>Please attach a description of any compelling while reviewing this application.</li> </ol>	circumstances the Agency should be aware of
I)		dentified on the property?

# Section III: Construction

#### A) Project Costs and Finances

Description of Costs	Total	% of Total	Total Private Expenditure
	Budget	Budget to be	(should be less than or
	Amount	Procured in	equal to total budget
		Onondaga	amount)
		County	
Land Acquisition			
Site Work/Demo			
Building Construction			
& Renovation			
Furniture & Fixtures			
Equipment			
Equipment Subject to NYS			
Production Sales Tax			
Engineering/Architect			
Financial Charges			
Legal			
Other			
Management/Developer Fees			
<b>Total Project Cost</b>			

Note: Do not include OCIDA fees, OCIDA application fees or OCIDA legal fees as part of the Total Project Cost. You may attach a separate chart if needed.

TOTA	Capital Costs	\$	
D : .			
Project	refinancing: estimated amount		
(for ref	inancing of existing debt only)	\$	
	2 2 7	· <u></u>	
Source	s of Funds for Project Costs:		
1.	Bank Financing	\$	
2.	Equity (excluding equity that is attributed to grants/tax credits)	\$	
3.	Tax Exempt Bond Issuance (if applicable)	\$	
4.	Taxable Bond Issuance (if applicable)	\$	
	Project (for ref. Sources 1. 2. 3.	<ol> <li>Equity (excluding equity that is attributed to grants/tax credits)</li> <li>Tax Exempt Bond Issuance (if applicable)</li> </ol>	Project refinancing: estimated amount  (for refinancing of existing debt only)  Sources of Funds for Project Costs:  1. Bank Financing  2. Equity (excluding equity that is attributed to grants/tax credits)  3. Tax Exempt Bond Issuance (if applicable)  \$

-Identify each state and federal grant/credit:	
\$	
6. Total Sources of Funds for Project Costs \$  Employment and Payroll Information *Full Time Equivalent (FTE) is defined as one employee working no less than per week or two or more employees together working a total of 40 hours per w  1. Are there people currently employed at the project site?  \[ \textstyle \textsty	
6. Total Sources of Funds for Project Costs \$  Employment and Payroll Information *Full Time Equivalent (FTE) is defined as one employee working no less than per week or two or more employees together working a total of 40 hours per w  1. Are there people currently employed at the project site?  □Yes □No If yes, provide number of FTE jobs at the  2. Complete the following:  Estimate the number of FTE jobs to be	
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*Full Time Equivalent (FTE) is defined as one employee working no less than per week or two or more employees together working a total of 40 hours per w  1. Are there people currently employed at the project site?  □ Yes □ No If yes, provide number of FTE jobs at the  2. Complete the following:  Estimate the number of FTE jobs to be	
<ol> <li>Are there people currently employed at the project site?</li></ol>	40.1
<ol> <li>Are there people currently employed at the project site?         □Yes □No If yes, provide number of FTE jobs at the</li> <li>Complete the following:         Estimate the number of FTE jobs to be</li> </ol>	
☐ Yes ☐ No If yes, provide number of FTE jobs at the  2. Complete the following:  Estimate the number of FTE jobs to be	eek.
☐ Yes ☐ No If yes, provide number of FTE jobs at the  2. Complete the following:  Estimate the number of FTE jobs to be	
2. Complete the following:  Estimate the number of FTE jobs to be	facility:
Estimate the number of FTE jobs to be	raciiity
· ·	
retained as a result of this Project:	
Estimate the number of construction jobs to	
be created by this Project:	
Estimate the average length of construction	
jobs to be created (months):	
Current annual payroll at facility:	
Average annual growth rate of wages:	
Please list, if any, benefits that will be	
available to either full and/or part time	
employees: Average annual benefit paid by the	
company (\$ or % salary) per FTE job:	
Average growth rate of benefit cost:	
Amount or percent of wage employees pay	
for benefits:	
Provide an estimate of the number of	
residents in the Economic Development	
Region (Onondaga, Madison, Cayuga,	
Oneida, Oswego, and Cortland Counties) to fill new FTE jobs:	

- D) New Employment Benefits
  - i. Complete the following chart indicating the number of FTE jobs presently employed at the Project and the number of FTE jobs that will be created at the Project site at the end of the first, second, third, fourth and fifth years after the Project is completed. Jobs should be listed by title of category (see below), including FTE independent contractors or employees of independent contractors that work at the Project location. Do not include construction workers.
  - ii. Feel free to include additional information or a substitute chart if you think additional material would add clarity.

Current & Planned Full Time Occupations (Job	Salary (Annual or	Current Number of		ber of F	
Titles)	Hourly)	FTEs			Year 5
Job Creation S	ubtotal				

For purposes of completing the chart, please list the job titles that will be increasing in number. If possible, please attach a brief description that outlines what each job entails.

If you prefer, you may attach a job chart of your own that outlines the job growth projections regarding the project.

E)	Financial Assistance sought (estimated values):
	☐ Real Property Tax Abatement (PILOT):
	☐ Mortgage Recording Tax Exemption (.75% of amount mortgaged):
	☐ Sales and Use Tax Exemption (4% Local, 4% State):
	☐ Tax Exempt Bond Financing (Amount Requested):
	☐ Taxable Bond Financing (Amount Requested):

F) Mortgage Recording Tax Exemption Benefit Calculator: Amount of mort be subject to mortgage recording tax:	tgage that would
Mortgage Amount (include sum total of construction/permanent/bridge financing):	\$
Estimated Mortgage Recording Tax Exemption Benefit (product of mortgage amount as indicated above, multiplied by .0075):	\$
G) Sales and Use Tax Benefit Calculator: Gross amount of costs for goods are subject to State and local Sales and US tax – said amount to benefit f Sales and Use Tax exemption benefit:	
Estimated State and local Sales and Use Tax Benefit (product of 8% figure, above) (This should match the amount in section "E" on this pag only exists to help you with your estimate):	• •

#### Section IV: Estimate of Real Property Tax Abatement Benefits

Section IV of this Application will be: (i) completed by IDA Staff based upon information contained within the Application, and (ii) provided to the Applicant for ultimate inclusion as part of this completed Application prior to the completed application being provided to the OCIDA Board.

#### A) PILOTS Estimate Table Worksheet

OCIDA estimate of current value	
New construction and renovation costs	
OCIDA estimate of increase in value	
OCIDA estimated value of completed project	
OCIDA estimate of taxes that would have been collected if the project did not occur	
Scheduled PILOT payments	

PILOT	Exemption	County	Local	School	Total	Full Tax	Net Exemption
Year	%	PILOT	PILOT	PILOT	PILOT	Payment	_
		Amount	Amount	Amount		w/o PILOT	
1	100						
2	90						
3	80						
4	70						
5	60						
6	50						
7	40						
8	30						
9	20						
10	10						
TOTAL							

Estimates provided are based on current property tax rates and assessment value (current as of date of application submission) and have been calculated by IDA staff

#### Section V: Local Access Policy Agreement

In absence of a waiver permitting otherwise, every project seeking the assistance of the Onondaga County Industrial Development Agency (Agency) must use local general contractors, subcontractors, and labor for one-hundred percent (100%) of the construction of new, expanded, or renovated facilities. The project's construction or project manager need not be a local company.

Noncompliance may result in the revocation and/or recapture of all benefits extended to the project by the Agency. Local Labor is defined as laborers permanently residing in the State of New York counties of Cayuga, Cortland, Herkimer, Jefferson, Madison, Oneida, Onondaga, Oswego, Tompkins, and Wayne. Local (General/Sub) Contractor is defined as a contractor operating a permanent office in the State of New York counties of Cayuga, Cortland, Herkimer, Jefferson, Madison, Oneida, Onondaga, Oswego, Tompkins and Wayne. The Agency may determine on a case-by-case basis to waive the Local Access Policy for a project or for a portion of a project where consideration of warranty issues, necessity of specialized skills, significant cost differentials between local and non-local services or other compelling circumstances exist. The procedure to address a local labor waiver can be found in the OCIDA handbook, which is available upon request.

Prior to issuance of any NYS Tax & Finance ST-60 forms, the Applicant must submit a Contractor **Status Report to the Agency.** In consideration of the extension of financial assistance by the Agency \_\_\_\_\_ (the Company) understands the Local Access Policy and agrees to complete Appendix C of the Agency's application at the time of the application to the Agency and as part of a request to extend the valid date of the Agency's tax-exempt certificate for the Project. The Company understands that an Agency tax-exempt certificate is typically valid for 12 months from the effective date of the project inducement and extended thereafter upon request by the Company. The Company further understands that any request for a waiver to this policy must be submitted in writing and approved by the Agency. I agree to the conditions of this agreement and certify all information provided regarding the construction and employment activities for the project as of \_\_\_\_\_(date). Company: Representative for Contract: Address: \_\_\_\_\_ State: \_\_\_\_ Zip: \_\_\_\_ Phone: Email: \_\_\_\_ Project Address: City: State: Zip: General Contractor: Contact Person: Address: \_\_\_\_\_ State: \_\_\_\_ Zip: Phone: \_\_\_\_\_Email: \_\_\_\_ Authorized Representative: \_\_\_\_\_\_Title: \_\_\_\_

Signature:

#### Section VI: Agency Fee Schedule

#### **Payment Terms:**

Application & Processing Fee (payable at the time of application):

\$1,000

Legal Deposit (payable at the time of application):

\$2,500

Agency Fee for Bond Projects:

Payable at Closing

Agency and Legal Fees for all other projects:

Due and Payable at Inducement

\* A sales tax certificate (ST-60) will not be issued until the Agency Fee is Paid in Full

Agency Fees: The project cost is the Total Project Cost from section III A

Benefit Sought

Fee Charged

Mortgage Recording Tax and/or Sales Tax exemptions:

0.01 X the project cost

Additional Fee for PILOT Agreement Projects:

0.0025 X the project cost

Fee for bond financing, refinancing & refunding:

0.0025 X the project cost

Note: For Manufacturing Projects under \$10 million the fee is reduced by: 0.0025 X the project cost

Agency Legal Fees: The project cost is the Total Project Cost from section III A

Fee for first \$20 million:

0.0025 of the project cost

Fee for expenses above \$20 million:

0.00125 of the project cost

In addition to the foregoing, Applicants are responsible for payment of all costs and expenses incurred by OCIDA in connection with application or Project including without limitation publication, copying costs, SEQRA compliance and fees and costs to OCIDA's attorneys, engineers, and consultants. OCIDA reserves the right to require a deposit to cover anticipated costs. Application fees are payable at time application/request is submitted. All fees are non-refundable. Applicants for bond transactions are responsible for payment of a Bond Issuance Charge payable to the State of New York. Applicants are also responsible for payment of post-closing fees and costs associated with the appointment of additional agents.

OCIDA reserves the right to modify this schedule at any time and assess fees and charges in connection with other transactions such as grants of easement or lease or sale of OCIDA-owned property.

#### Section VII: Recapture of Tax Abatement/Exemptions

<u>Information to be Provided by Companies:</u> Each Company agrees that to receive benefits from the Agency it must, whenever requested by the Agency or required under applicable statutes or project documents, provide and certify or cause to be provided and certified such information concerning the Company, its finances, its employees and other topics which shall, from time to time, be necessary or appropriate, including but not limited to, such information as to enable the Agency to make any reports required by law or governmental regulation.

**Recapture of Benefits**: It is the policy of the Agency to recapture the value of a PILOT, any sales and use tax exemption, and mortgage recording tax exemption in accordance with the Laws of the State and the provisions contained herein. Before receiving benefits, the Company must attest in writing to its understanding of, and agreement to, the recapture provisions contained in State Law and herein. To the extent permitted by State law, the recapture provisions contained herein may be modified from time to time by the Agency at its sole discretion.

Recapture of a PILOT, Sales Tax and the Mortgage Recording Tax Exemptions: If the number of full time equivalent jobs to be maintained or created in connection with a project falls below 75% of the number projected in the Company's application to the Agency, or if there are material violations of the project agreements, then the value of the property tax, sales and use tax and mortgage recording tax benefits extended to the project by the Agency may be subject to recapture. When deciding whether or not to recapture benefits and the amount of such recapture, the Agency may consider the potential future benefit of the business to the community.

**Recapture Payment:** The recapture payment paid by the Company to the Agency shall be determined (1) by the difference between any PILOT payments made by the Company and the property taxes that would have been paid by the Company if the property were not under the supervision, jurisdiction or control of the Agency, (2) the value of any mortgage recording tax exemption, if awarded to the Company and (3) the amount of sales and use tax that would have been paid if an exemption was not granted.

**Recapture of the PILOT, Sales Tax or Mortgage Recording Tax:** The Recapture Schedule for a Payment in Lieu of Tax Agreement, Sales Tax or the Mortgage Recording Tax is as follows:

Time from Project Completion	Tax Savings Recaptured
1 Year	80%
2 Years	60%
3 Years	40%
4 Years	20%
5 Years	10%

<u>Distribution of the Recapture Payment:</u> Any funds recaptured as a result of the recapture payment shall be distributed to the affected taxing jurisdictions in the same proportion as if the payments were paid or owed by the Company on the date of recapture.

<u>Additional Conditions for the Recapture of Sales and Use Tax:</u> As of April 1, 2013, New York State law requires Industrial Development Agencies to recapture sales tax benefits where:

- A project is not entitled to receive the benefits:
- Exemptions received exceed the amount authorized by the Agency;
- Exemptions are claimed by the Project for unauthorized property or services; or
- A project fails to use property in the manner required by its IDA agreements.
- 1. <u>Distribution of Sales and Use Tax</u>. Project operators must cooperate with the Agency in its effort to recapture all sales and use tax benefits received by the Company by promptly paying the recapture amount as determined by the Agency. The amount to be recaptured will be dictated by State Law or this UTEP Policy, which ever may be applicable. The Agency shall remit the recaptured sales and use tax benefits to the State within 30 days of receipt.
- 2. <u>Compliance Report</u>. Annually, the Agency will file an annual compliance report with the State of New York detailing its recapture terms and its activities to recapture benefits, including any attempt to recapture benefits from an Agency project.

#### A "Full Time Permanent Employee" shall mean

- 1. A full time, permanent, private sector employee on the Company's payroll, who has worked at the project location for a minimum of thirty hours per week for not less than four consecutive weeks and who is entitled to receive the usual and customary fringe benefits extended by Company to other employees with comparable rank, duties and hours; or
- 2. Up to three part time, permanent, private-sector employees on Company's payroll, who have worked at the project location for a combined minimum of thirty hours per week for not less than four consecutive weeks and who are entitled to receive the usual and customary fringe benefits extended by Company to other employees with comparable rank, duties and hours.

I have read the foregoing and agree to comply with all the terms and conditions contained therein as well as policies of the Onondaga County Industrial Agency.

Name of Applicant Company	
Signature of Officer or Authorized Representative:	
Name & Title of Officer or Authorized Representative:	
Date:	

#### Section VIII: Employment Plan

**Jobs Listings:** In accordance with §858-b(2) of the New York General Municipal Law, the Applicant understands and agrees that if the Project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, new employment opportunities created as a result of the Project will be listed with the New York State Department of Labor Business Services and with the administrative entity of the service delivery area created by the Workforce Innovation and Opportunity Act of 2014 in which the Project is located. In Onondaga County, please contact CNY Works. Additionally, the applicant is encouraged to review the services provided by JOBSPlus! for candidate matching services.

Are the employees of your company currently covered by a collective bargaining agreement?
□Yes □No
If yes, name and location:
Is the labor pool in Onondaga County and/or the CNY Economic Development Region adequate to fill new positions?
□Yes □No
Enter Company Name in three (3) places below and sign by an authorized company officer:
In consideration of the benefits provided by the Onondaga County Industrial Development Agency (OCIDA),, project beneficiary, also agrees to report to OCIDA on the number of new employment opportunities created in connection with industrial or commercial projects financed by the proceeds of such benefits to be listed with the New York State Department of Labor Business Services and CNY Works.
, project beneficiary, also agrees to report to OCIDA on or before March 1 of each year the status of employment opportunities filed with the New York State Department of Labor Business Services, including the number of new employment opportunities created, the number listed, and the number filled for the year ending the prior December 31.
, project beneficiary, further agrees that, to the extent practicable and feasible, and subject to the requirements of any existing collective bargaining agreement, the project beneficiary shall fill at least 10% of new employment opportunities with persons eligible for service under the Workforce Innovation and Opportunity Act of 2014.
Name of Applicant Company:
Signature of Officer or Authorized Representative:
Name & Title of Officer or Authorized Representative:
Date:

NYS Department of Labor:
Roy Jewell
Associate Business Service Representative
450 South Salina Street, Syracuse, NY 13202 315-479-3362
roy.jewell@labor.ny.gov
www.labor.ny.gov

CNY Works
Chris Kennedy
Business Development Specialist
960 James Street, Syracuse, NY 13203
315-477-6974
ckennedy@cnyworks.com
www.cnyworks.com

#### Section IX: Conflict of Interest

#### Agency Board Members

- 1. Patrick Hogan
- 2. Steve Morgan
- 3. Victor Ianno
- 4. Sue Stanczyk
- 5. Kevin Ryan
- 6. Janice Herzog
- 7. Fanny Villarreal

#### Agency Officers/Staff

- 1. Robert M. Petrovich
- 2. Nathaniel Stevens
- 3. Nancy Lowery
- 4. Karen Doster
- 5. Chris Cox

#### Agency Legal Counsel & Auditor

- 1. Jeffrey Davis, Esq., Barclay Damon LLP
- 2. Amanda Mirabito, Esq., Barclay Damon LLP
- 3. Michael G. Lisson, CPA, Grossman St. Amour Certified Public Accountants PLLC

The Applicant has received from the Agency a list of members, officers and staff of the Agency. To the best of my knowledge, no member, officer or employee of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as hereinafter described:

Name of Applicant Company	
Signature of Officer or Authorized Representative:	
Name & Title of Officer or Authorized Representative:	
Date	

#### Section X: Representations, Certifications, and Indemnification

	(Name	of	CEO	or	other	authorized	repre	sentative	of
Applicant) confirms and says that	he/she	is	the					(title)	of
(nan	ne of co	rpoi	ation	or (	other e	entity) name	ed in 1	the attac	hed
Application (the "Applicant"), that	he/she 1	nas	read tl	ne f	oregoir	ng Applicati	on and	d knows	the
contents thereof, and hereby represe	ents, und	ersta	ınds, a	nd c	therwi	se agrees wi	th the	Agency	and
as follows:						· ·			

- A. First Consideration for Employment: In accordance with §858-b (2) of the New York General Municipal Law, the Applicant understands and agrees that if the Project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, where practicable, the Applicant will first consider persons eligible to participate in WIA programs who shall be referred by the CNY Works for new employment opportunities created as a result of the Project.
- **B.** Other NYS Facilities: In accordance with §862 (1) of the New York General Municipal Law, the Applicant understands and agrees that projects which will result in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state or in the abandonment of one or more plants or facilities of the project occupant within the state is ineligible for Agency Financial Assistance, unless otherwise approved by the Agency as reasonably necessary to preserve the competitive position of the project in its respective industry or is reasonably necessary.
- C. Annual Sales Tax Filings: In accordance with §874(8) of the New York General Municipal Law, the Applicant understands and agrees that if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, the Applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the Applicant and all consultants or subcontractors retained by the Applicant.
- **D. Outstanding Bonds:** The Applicant understands and agrees to provide on an annual basis any information regarding bonds, if any, issued by the Agency for the project that is requested by the Comptroller of the State of New York.
- E. Employment Reports: The Applicant understands and agrees that, if the Project receives any financial assistance from the Agency, the Applicant agrees to file with the Agency, at least annually or as otherwise required by the Agency, reports regarding the number of people employed at the project site, salary levels, contractor utilization and such other information (collectively, "Employment Reports") that may be required from time to time on such appropriate forms as designated by the Agency. Failure to provide Employment Reports within 30 days of an Agency request shall be an Event of Default under the PILOT Agreement between the Agency and Applicant and, if applicable, an Event of Default under the Agency with an Employment Report may be reported to Agency board members, with said report being an agenda item subject to the open

meetings law.

- **F.** Absence of Conflicts of Interest: The Applicant has received from the Agency a list of the members, officers and employees of the Agency. No member, officer or employee of the Agency has an interest, whether direct or indirect in any transaction contemplated by this Application, except as hereinafter described in Section X.
- **G.** Compliance: The Applicant understands and agrees that it is in substantial compliance with applicable local, state, and federal tax, worker protection, and environmental laws, rules, and regulations.
- **H.** The Applicant understands and agrees that the provisions of Section 862(1) of the New York General Municipal Law, as provided below, will not be violated if financial assistance is provided for the proposed Project:
- § 862. Restrictions on funds of the Agency. (1) No funds of the Agency shall be used in respect of any project if the completion thereof would result in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state or in the abandonment of one or more plants or facilities of the project occupant located within the state, provided, however, that neither restriction shall apply if the agency shall determine on the basis of the application before it that the project is reasonably necessary to discourage the project occupant from removing such other plant or facility to a location outside the state or is reasonably necessary to preserve the competitive position of the project occupant in its respective industry.
  - I. The Applicant confirms and acknowledges that the owner, occupant or operator receiving financial assistance for the proposed Project is in substantial compliance with applicable local, state, and federal tax, worker protection and environmental laws, rules and regulations.
  - **J.** The Applicant confirms and acknowledges that the submission of any knowingly false or knowingly misleading information may lead to the immediate termination of any financial assistance and the reimbursement of an amount equal to all or part of any tax exemption claimed by reason of the Agency's involvement in the Project.
  - **K.** The Applicant confirms and hereby acknowledges that as of the date of this Application, the Applicant is in substantial compliance with all provisions of Article 18-A of the New York General Municipal Law, including, but not limited to, the provision of Section 859-a and Section 862(1) of the New York General Municipal Law.
  - L. The Applicant and the individual executing this Application on behalf of Applicant acknowledge that the Agency and its counsel will rely on the representations and covenants made in this Application when acting hereon and hereby represents that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statement contained herein not misleading.

- **M.** The OCIDA has the right to request and inspect supporting documentation regarding attestations made on this application.
- N. Hold Harmless Agreement: Applicant hereby releases Onondaga County Industrial Development Agency and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for, and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by: (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax-exemptions and other assistance requested therein are favorably acted upon by the Agency; (B) the Agency's acquisition, construction, and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project, including without limiting the generality of the foregoing, all cause of action and attorney's fees and any other expenses incurred in defending any suits or action which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or if the Agency or the Applicant are unable to reach final agreement with respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the process of the Application, including attorney's fees, if any.

Name of Applicant Company	
Signature of Officer or Authorized Representa	ative:
Name & Title of Officer or Authorized Repres	sentative:
Date:	
STATE OF NEW YORK	)
COUNTY OF ONONDAGA	)ss.;
	, being first duly sworn, deposes and says:
	(Corporate Officer) of authorized on behalf of the Applicant to bind the
-	oplication, I Know the contents thereof, and that to belief, this Application and the contents of this complete
Subscribed and affirmed to me un	1
perjury thisday	of, 20
(Notary Public)	
End of	f Application

Rev 1-9-20

J) Has the Project Beneficiary received assistance from OCIDA, SIDA, NYS or the Onondaga Civic Development Corporation in the past. If yes please attached an explanation and please give year, project name, description of benefits and address of project.

Yes, the Project Beneficiary had a prior PILOT program from OCIDA that has expired as well as a 2019 CFA NYS ESD Capital Funds grant in the amount of \$400,000

C) Please attach a summary of how this project will help your business grow. Will it set the company up for revenue growth? Will it mitigate cost? Will it provide more flexibility?

Tracey Road Equipment has exceeded the capacity of its current 55,000 SF facility. It cannot add the necessary pieces of equipment, machinery and workforce to continue to meet the demands of its clients or the growing market in the same fashion that clients have come to expect without the investment of roughly \$4.5 million to expand the facility by roughly 31,000 SF and add new equipment.

Tracey Road Equipment is proposing an expansion of its current facility, constructing an additional 31,000 SF of floor space. This space will be utilized to more efficiently and effectively serve customers and reduce down time for truckers and construction outfitters. With the added space, Tracey Road Equipment will be adding 30 jobs with a starting salary of \$35,000. Training and apprenticeship opportunities are available. Currently, roughly 51% of business is derived from outside Onondaga County; Tracey Road Equipment anticipates increasing its customer base both in and outside of Onondaga County with the increased in square footage at its facility as well as purchase of new equipment.

#### **D**) Description of Project

Tracey Road Equipment's headquarters is located in the heart of CNY at 6803 Manlius Center Road in East Syracuse. This 55,000 SF facility houses Tracey Road's corporate headquarters and is where the company's largest service shop operates, offering the most diverse services. The Shop services light, medium, and heavy duty trucks, heavy construction equipment and serves as the fabrication shop.

Tracey Road Equipment has been a leader in the construction and trucking industries as a premier dealer for over 40 years. As the trucking and equipment industries continues to grow and technology advances Tracey Road has recognized the need to expand and modernize to maintain its place in the market, ensure customers are receiving timely service and meet the demands of the evolving market in general. In order to do so Tracey Road must expand its current facility and add 30 FT positions. Tracey Road plans to invest roughly \$4.5 million towards the construction of a roughly 31,000 SF shop addition and invest in equipment. This expansion will allow Tracey to complete repair orders expeditiously and continue to serve customers in the surrounding region and across NYS.

Tracey Road Equipment obtains a majority of its sales; roughly 51% from outside of Onondaga County; bringing in revenue to our community from outside our county's boundaries; this addition will allow Tracey Road to increase sales potential, brining in even more to our local economy.

Tracey Road Equipment provides new hire training, apprentice opportunities and promotion from within; creating careers not just jobs. The City of Syracuse which is mere miles from the Manlius Center Road facility unemployment is three times the national average; the Tracey Road positions will add to the opportunity for current un- and underemployed in our region.

Tracey Road Equipment is finalizing plans for the expansion and looking to potentially begin construction in Spring of 2020.

H) Please attach a description of any compelling circumstances the Agency should be aware of while reviewing this application.

Tracey Road Equipment has exceeded the capacity of its current 55,000 SF facility. It cannot add the necessary pieces of equipment, machinery and workforce to continue to meet the demands of its clients or the growing market in the same fashion that clients have come to expect without the investment of roughly \$4.5 million to expand the facility by roughly 31,000 SF and add new equipment.

### Short Environmental Assessment Form Part 1 - Project Information

#### **Instructions for Completing**

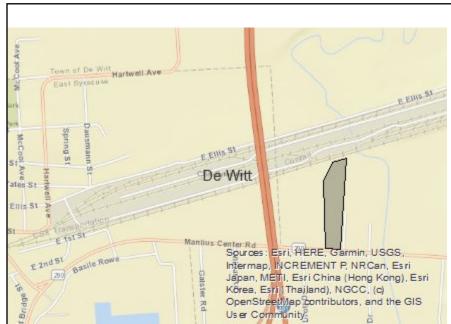
Part 1 – Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 – Project and Sponsor Information					
Name of Action or Project:					
Project Location (describe, and attach a location map):					
Brief Description of Proposed Action:					
Name of Applicant or Sponsor:		Telephone:			
		E-Mail:			
Address:					
City/PO:		State:	Zip Code:		
1. Does the proposed action only involve the legislative ac administrative rule, or regulation?	loption of a plan, loca	l law, ordinance,	NO YES		
If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.					
2. Does the proposed action require a permit, approval or funding from any other government Agency? If Yes, list agency(s) name and permit or approval:					
3. a. Total acreage of the site of the proposed action? acres b. Total acreage to be physically disturbed? acres c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? acres					
4. Check all land uses that occur on, are adjoining or near t	he proposed action:				
5. Urban Rural (non-agriculture) Industry	crial Commercia	al Residential (subur	rban)		
☐ Forest Agriculture Aquat ☐ Parkland	ic Other(Spec	cify):			

5.	Is the proposed action,	NO	YES	N/A
	a. A permitted use under the zoning regulations?			
	b. Consistent with the adopted comprehensive plan?			
6	Is the proposed action consistent with the predominant character of the existing built or natural landscape?		NO	YES
6.	is the proposed action consistent with the predominant character of the existing built of natural fandscape?			
7.	Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area?		NO	YES
If Y	es, identify:			
			NO	VEC
8.	a. Will the proposed action result in a substantial increase in traffic above present levels?		NO	YES
	b. Are public transportation services available at or near the site of the proposed action?			
	c. Are any pedestrian accommodations or bicycle routes available on or near the site of the proposed action?			
9.	Does the proposed action meet or exceed the state energy code requirements?		NO	YES
If the	ne proposed action will exceed requirements, describe design features and technologies:			
10.	Will the proposed action connect to an existing public/private water supply?		NO	YES
	If No, describe method for providing potable water:			
11.	Will the proposed action connect to existing wastewater utilities?		NO	YES
	If No, describe method for providing wastewater treatment:			
	a. Does the project site contain, or is it substantially contiguous to, a building, archaeological site, or district	t	NO	YES
which is listed on the National or State Register of Historic Places, or that has been determined by the Commissioner of the NYS Office of Parks, Recreation and Historic Preservation to be eligible for listing on the State Register of Historic Places?				
arcl	b. Is the project site, or any portion of it, located in or adjacent to an area designated as sensitive for naeological sites on the NY State Historic Preservation Office (SHPO) archaeological site inventory?			
13.	a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?		NO	YES
	b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody?		H	
If Y	es, identify the wetland or waterbody and extent of alterations in square feet or acres:			

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply:		
☐Shoreline ☐ Forest Agricultural/grasslands Early mid-successional		
Wetland   Urban Suburban		
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or	NO	YES
Federal government as threatened or endangered?		
16. Is the project site located in the 100-year flood plan?	NO	YES
17. Will the proposed action create storm water discharge, either from point or non-point sources?	NO	YES
If Yes,		
a. Will storm water discharges flow to adjacent properties?		
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe:		
18. Does the proposed action include construction or other activities that would result in the impoundment of water	NO	YES
or other liquids (e.g., retention pond, waste lagoon, dam)?  If Yes, explain the purpose and size of the impoundment:		
if Tes, explain the purpose and size of the impoundment.		
<del></del>		
49. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility?	NO	YES
If Yes, describe:		
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or	NO	YES
completed) for hazardous waste?  If Yes, describe:		
I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BE MY KNOWLEDGE	STOF	
Applicant/sponsor/name:		
Signature:Title:		



**Disclaimer:** The EAF Mapper is a screening tool intended to assist project sponsors and reviewing agencies in preparing an environmental assessment form (EAF). Not all questions asked in the EAF are answered by the EAF Mapper. Additional information on any EAF question can be obtained by consulting the EAF Workbooks. Although the EAF Mapper provides the most up-to-date digital data available to DEC, you may also need to contact local or other data sources in order to obtain data not provided by the Mapper. Digital data is not a substitute for agency determinations.



Part 1 / Question 7 [Critical Environmental Area]	No
Part 1 / Question 12a [National or State Register of Historic Places or State Eligible Sites]	No
Part 1 / Question 12b [Archeological Sites]	No
Part 1 / Question 13a [Wetlands or Other Regulated Waterbodies]	Yes - Digital mapping information on local and federal wetlands and waterbodies is known to be incomplete. Refer to EAF Workbook.
Part 1 / Question 15 [Threatened or Endangered Animal]	Yes
Part 1 / Question 15 [Threatened or Endangered Animal - Name]	Northern Long-eared Bat, Indiana Bat
Part 1 / Question 16 [100 Year Flood Plain]	Yes
Part 1 / Question 20 [Remediation Site]	No

#### Tracey Road Equipment Building Additions – PB-546-20 Recommendation to ZBA

SPR RE: Proposed 27,692 SF Building Addition and 2,304 SF Office Addition to Existing 55,000 SF Building in an Industrial District 6803 Manlius Center Road

Tax Map Nos.: 014.-01-05.1, 014.-01-07.2, 014.-01-07.4, 014.-01-07.6 (0 minutes)

Mark Weiss and Wyatt Nelson were present for the applicant. After discussion, Mr. Webber made a motion, seconded by Mr. Mueller to recommend to the ZBA with the following findings:

- 1. To issue a negative SEQRA declaration for this project with the findings that these matters are unlisted actions and this Board has reviewed the EAF submitted by the applicant and finds no negative significant adverse environmental impacts from the project.
- 2. Recommend to the ZBA that the Specific Permit for operation of a Commercial Garage be granted as the use is compatible with the area and the uses surrounding it; and is appropriate for this industrial zone.
- 3. Recommend to the ZBA the applicant be granted a variance on the size of the sign and that the sign as presented by approved as to its proposed square footage as shown on the plan as it is compatible with the structures and uses on this site as they have been operating for many years and provides appropriate identification for the parcel and uses thereon and is generally compatible with this industrial district.

The motion carried unanimously.

Tracey Road Equipment Subdivision – PB-544-20 Subdivision RE: Combining of Four Lots into One in an Industrial District 6803 Manlius Center Road Tax Map Nos.: 014.-01-05.1, 014.-01-07.2, 014.-01-07.4, 014.-01-07.6 (8 minutes)

Mark Weiss and Wyatt Nelson were present for the applicant. After discussion of the subdivision and pending matters, Mr. Webber made a motion seconded by Mrs. Kotz to approve the subdivision plan as presented with the following findings and conditions:

- 1. This is a Simple Division of land under the Code as no new lots are created; this involves movement of lot lines.
- 2. The lots herein consolidated are not changing the utilization of the properties substantially beyond how they have been used by the owner in common of all lots for many years, but is for convenience of the owner and to complete further improvements per a pending site plan in this industrial district.
- 3. Accordingly, and in light of the above, this Board waives public hearing requirement for subdivision as permitted by the Code where there are no new lots created and there is no public interest in this lot line adjustment.

4. This Board has reviewed the SOCPA resolution of 2/26/20 which found no significant adverse inter-community or county-wide implications and this Board has also reviewed the EAF submitted by the applicant and issues a negative SEQRA declaration for the project, finding no significant adverse environmental impacts from the project.

5. Applicant must file the subdivision map in the Onondaga County Clerk's Office in accordance with the rules of Onondaga County and provide a copy of the stamped filed map with the Town of DeWitt Department of Planning and Zoning.

The motion carried unanimously.